





Atty. Dkt. No. 040301-0640

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kenichi NAGAMI et al.

Title:

ROUTER DEVICE AND LABEL SWITCHED PATH CONTROL METHOD

USING UPSTREAM INITIATED AGGREGATION

Appl. No.:

10/720,263

Filing Date:

11/25/2003

Examiner:

Unassigned

Art Unit:

2664

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

In a counterpart Japanese application, a Japanese Office Action issued on October 19, 2004. According to an English translation of the Japanese Office Action obtained by Applicants' representative, the Japanese Patent Office made certain characterizations of the references.

According to an English translation of the Japanese Office Action, document B1 discloses that, when setting up a label switching path, ingress-LSR and egress-LSR are determined first, a path to said egress-LSR is set up, and the address which passes via said egress-LSR and the label of the label switching path which is set up, are stored in memory in correspondence (refer to "3 Proposed method", Figures 2 and 3 of reference 1, etc.).

According to the translation, document B2 discloses that one label switching path is set up with respect to one egress router for entries which share a route from an ingress router to that egress router.

As noted, the characterizations of the Japanese Patent Office noted above are based on an English translation obtained by Applicant's representative. The disclosure of these characterizations should not be construed as an admission of or agreement with the opinions expressed in the Japanese Office Action.

English translations of the foreign-language documents are not readily available. However, an English language summary is provided for document B2 are provided herewith. Consequently, the foreign language documents have been submitted in compliance with the PTO requirements and, therefore, the documents should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

DEC 2 9 2004

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MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Subst	itute for form 1449E	В/РТО	Complete if Known		
OIP	TO ROR	MATION DISCLO	OSURE	Application Number	10/720,263	
U	SPATE	MENT BY APPL		Filing Date	11/21/2003	
	ايد عمد	Date Submitted:	DEC 2 9 2004	First Named Inventor	Kenichi NAGAMI	
DEC 5	9 2004 岩	Date Submitted:	DEC 7 3 7004	Group Art Unit	2664	
	(use s many sheets as necessary)			Examiner Name	Unassigned	
Cheet	NE	of	1	Attorney Docket Number	040301-0640	

			U.S. PATENT DOCUMENTS		
Cite No.1	U.S. Patent Document		N	Date of Publication of	Pages, Columns, Lines, Where Relevant
	Number	Kind Code <sup>2</sup> (if known)	Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	- 4	Cite	Cite No.1 Number Code <sup>2</sup> (if	Cite No.1 Number Code <sup>2</sup> (if Name of Patentee or Applicant of Cited Document	Cite No.1 Number Code <sup>2</sup> (if Number Code <sup>2</sup> )  U.S. Patent Document Name of Patentee or Applicant of Cited Document MM-DD-YYYY

Examiner Initials*	Cite No.1	Fore Office <sup>3</sup>	eign Patent D Number <sup>4</sup>	Ocument Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	B1	NOBUHIRO SENBA et al., "Estimation of a Label Distribution Protocol for Label Switching", Information Processing Society of Japan Research Report, November 21, 1997, pages 25-30, vol. 97, no. 111.	
	B2	HIROSHI SUZUKI et al., "Multimedia Network Technology-4: ATM Internet Protocol", Computer Science Magazine "Bit", April 1998, pages summary, 71-81 and 96, vol. 30, no. 4.	

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁰Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.